

# Virasoro constraints and W-constraints for the q-KP hierarchy

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July 21, 2009

# Abstract

Based on the Adler-Shiota-van Moerbeke (ASvM) formula, the Virasoro constraints for the  $p$ -reduced  $q$ -deformed Kadomtsev-Petviashvili ( $q$ -KP) hierarchy are established, and then the Virasoro constraint generators are obtained. The another main purpose of this article is to give the  $W$ -constraints for the  $p$ -reduced  $q$ -KP hierarchy constrained by the string equation.

- q-derivative
- q-KP hierarchy
- Virasoro constraints for the  $p$ -reduced  $q$ -KP hierarchy
- $W$ -constraints for the  $p$ -reduced  $q$ -KP hierarchy

# q-derivative

The q-derivative  $\partial_q$  is defined by

$$\partial_q(f(x)) = \frac{f(qx) - f(x)}{x(q-1)} \quad (1)$$

and the q-shift operator is  $\theta(f(x)) = f(qx)$ , for  $0 < q < 1$ .

Let  $\partial_q^{-1}$  denote the formal inverse of  $\partial_q$ .

In general the following q-deformed Leibnitz rule holds:

$$\partial_q^n \circ f = \sum_{k \geq 0} \binom{n}{k}_q \theta^{n-k}(\partial_q^k f) \partial_q^{n-k}, \quad n \in \mathbb{Z} \quad (2)$$

where the q-number and the q-binomial are defined by

$$(n)_q = \frac{q^n - 1}{q - 1}$$

$$\binom{n}{k}_q = \frac{(n)_q (n-1)_q \cdots (n-k+1)_q}{(1)_q (2)_q \cdots (k)_q}, \quad \binom{n}{0}_q = 1,$$

- For a q-pseudo-differential operator (q-PDO) of the form  $P = \sum_{i=-\infty}^n p_i \partial_q^i$ , we separate  $P$  into the differential part  $P_+ = \sum_{i \geq 0} p_i \partial_q^i$  and the integral part  $P_- = \sum_{i \leq -1} p_i \partial_q^i$ .
- The q-exponent  $e_q(x)$  is defined as follows

$$e_q(x) = \sum_{n=0}^{\infty} \frac{x^n}{(n)_q!}, \quad (n)_q! = (n)_q (n-1)_q (n-2)_q \cdots (1)_q.$$

Its equivalent expression is of the form

$$e_q(x) = \exp\left(\sum_{k=1}^{\infty} \frac{(1-q)^k}{k(1-q^k)} x^k\right). \quad (3)$$

## q-KP Hierarchy

Let  $L$  be one q-PDO given by

$$L = \partial_q + u_0 + u_{-1}\partial_q^{-1} + u_{-2}\partial_q^{-2} + \cdots, \quad (4)$$

which is called Lax operator of q-KP hierarchy. There exist infinite number of q-partial differential equations relating to dynamical variables  $\{u_i(x, t_1, t_2, t_3, \cdots), i = 0, -1, -2, -3, \cdots\}$  and can be deduced from generalized Lax equation,

$$\frac{\partial L}{\partial t_n} = [B_n, L], n = 1, 2, 3, \cdots, \quad (5)$$

which are called q-KP hierarchy. Here  $B_n = (L^n)_+ = \sum_{i=0}^n b_i \partial_q^i$  means the positive part of q-PDO, and we will use  $L_-^n = L^n - L_+^n$  denote the negative part.

$L$  in (5) can be generated by dressing operator  $S = 1 + \sum_{k=1}^{\infty} s_k \partial_q^{-k}$  in the following way

$$L = S \circ \partial_q \circ S^{-1}, \quad (6)$$

Dressing operator  $S$  satisfies Sato equation

$$\frac{\partial S}{\partial t_n} = -(L^n)_- S, \quad n = 1, 2, 3, \dots \quad (7)$$

The wave function for q-KP hierarchy is defined by

$$w_q(x, t; z) = S e_q(xz) \exp\left(\sum_{i=1}^{\infty} t_i z^i\right)$$

$$L w_q = z w_q$$

The adjoint wave function is

$$w_q^*(x, t; z) = (S^*)^{-1}|_{x/q} e_{1/q}(-xz) \exp\left(-\sum_{i=1}^{\infty} t_i z^i\right),$$

and the notation  $P|_{x/t} = \sum_i P_i(x/t) t^i \partial_q^i$  is used for  $P = \sum_i p_i(x) \partial_q^i$ .

## Theorem

(Iliev P) There exists the tau function of q-KP hierarchy i.e.  $\tau_q$ , s.t.

$$w_q = \frac{\tau_q(x; t - [z^{-1}])}{\tau_q(x; t)} e_q(xz) \exp\left(\sum_{i=1}^{\infty} t_i z^i\right), \quad (8)$$

$$w_q^* = \frac{\tau_q(x; t + [z^{-1}])}{\tau_q(x; t)} e_{1/q}(-xz) \exp\left(-\sum_{i=1}^{\infty} t_i z^i\right), \quad (9)$$

here

$$[z] = \left(z, \frac{z^2}{2}, \frac{z^3}{3}, \dots\right).$$



## Theorem

(Iliev P) If  $\tau$  is the tau function of the KP hierarchy, then

$$\tau_q(x; t) = \tau(t + [x]_q) \quad (10)$$

is the tau function of  $q$ -KP hierarchy, where

$$[x]_q = \left( x, \frac{(1-q)^2}{2(1-q^2)}x^2, \frac{(1-q)^3}{3(1-q^3)}x^3, \dots \right).$$

# Additional symmetries of the q-KP hierarchy

- Define  $\Gamma_q$  and  $M$  (Tu M.H.)

$$\Gamma_q = \sum_{i=1}^{\infty} [it_i + \frac{(1-q)^i}{(1-q^i)} x^i] \partial_q^{i-1} \quad (11)$$

$$M \equiv S \Gamma_q S^{-1} \quad (12)$$

- Dressing  $[\partial_k - \partial_q^k, \Gamma_q] = 0$  gives:

$$\partial_k M = [B_k, M] \quad (13)$$

$$\partial_k (M^m L^n) = [B_k, M^m L^n] \quad (14)$$

- Define the additional flows

$$\frac{\partial \mathcal{S}}{\partial t_{m,n}^*} = -(M^m L^n)_- \mathcal{S} \quad (15)$$

or equivalently

$$\frac{\partial L}{\partial t_{m,n}^*} = -[(M^m L^n)_-, L] \quad (16)$$

- The additional flows  $\partial_{mn}^* = \frac{\partial}{\partial t_{m,n}^*}$  commute with the hierarchy, i.e.  $[\partial_{mn}^*, \partial_k] = 0$  but do not commute with each other, they are the additional symmetries of the q-KP hierarchy.

# String equation of the q-KP hierarchy

## Theorem

When  $L^p = (L^p)_+$  and  $\partial_{1,-p+1}^* S = 0$ , the string equation of the  $p$ -reduced q-KP hierarchy is

$$[L^p, \frac{1}{p}(ML^{-p+1})_+] = 1, p = 2, 3, \dots \quad (17)$$

# Process

## OLD

- Additional flows  
     $\Downarrow$   
String equation (  $\partial_{-n+1,1}^*$  )  
     $\Downarrow$   
Virasoro constraint (  $L_{-n}, n = 1, 2, 3, \dots$  )
- Additional flows  $\rightarrow \hat{w} \rightarrow \tau$

## This paper

- Virasoro constraints and W-constraints by Adler-Shiota-van Moerbeke (ASvM) formula

# Vertex operator

The vertex operator  $X_q(\mu, \lambda)$  is introduced by

$$X_q(\mu, \lambda) = e_q(x\mu)e_q^{-1}(x\lambda)\exp\left(\sum_{i=1}^{\infty} t_i(\mu^i - \lambda^i)\right)\exp\left(-\sum_{i=1}^{\infty} \frac{\mu^{-i} - \lambda^{-i}}{i} \partial_i\right). \quad (18)$$

Vertex operator  $X_q(\mu, \lambda)$  also can be denoted as

$$X_q(\mu, \lambda) =: \exp(\alpha(\lambda) - \alpha(\mu)) : \quad (19)$$

where  $\alpha(\lambda) = \sum \alpha_n \cdot \frac{\lambda^{-n}}{n}$ , and

$\alpha_n = \partial_n$  for  $n > 0$ ,  $\alpha_0 = 0$

$\alpha_n = |n|t_{|n|} + \frac{(1-q)^{|n|}}{1-q^{|n|}} x^{|n|}$  for  $n < 0$ , .

The Symbol  $::$  means that we keep  $t_i$  be always the right side of  $\partial_j$ .

Taylor expansion of the  $X_q(\mu, \lambda)$  on  $\mu$  at the point of  $\lambda$  is

$$X_q(\mu, \lambda) = \sum_{m=0}^{\infty} \frac{(\mu - \lambda)^m}{m!} \sum_{n=-\infty}^{\infty} \lambda^{-m-n} W_n^{(m)},$$

here

$$\sum_{n=-\infty}^{\infty} \lambda^{-m-n} W_n^{(m)} = \partial_{\mu}^m X_q(\mu, \lambda)|_{\mu=\lambda}.$$

The first items of  $W_n^{(m)}$  are

$$W_n^{(0)} = \delta_{n,0},$$

$$W_n^{(1)} = \alpha_n,$$

$$W_n^{(2)} = (-n-1)\alpha_n + \sum_{i+j=n} : \alpha_i \alpha_j :$$

$$W_n^{(3)} = (n+1)(n+1)\alpha_n + \sum_{i+j+k=n} : \alpha_i \alpha_j \alpha_k : - \frac{3}{2}(n+2) \sum_{i+j=n} : \alpha_i \alpha_j :$$



# ASvM Formula

There is ASvM formula for q-KP hierarchy:

$$X_q(\mu, \lambda) w_q(x, t; z) = (\lambda - \mu) Y_q(\mu, \lambda) w_q(x, t; z). \quad (20)$$

where the operator  $Y_q(\mu, \lambda)$  is the generators of additional symmetry of q-KP hierarchy as

$$Y_q(\mu, \lambda) = \sum_{m=0}^{\infty} \frac{(\mu - \lambda)^m}{m!} \sum_{n=-\infty}^{\infty} \lambda^{-m-n-1} (M^m L^{m+n})_-. \quad (21)$$

ASvM formula is equivalent to the following equation

$$\partial_{n+m, m}^* \tau_q = \frac{W_n^{(m+1)}(\tau_q)}{m+1} \quad (22)$$

Consider the condition  $\partial_{n+m,m}^* \hat{w}_q = 0$ , we have

$$\hat{w}_q(G(z) - 1) \frac{\partial_{n+m,m}^* \tau_q}{\tau_q} = 0. \quad (23)$$

- Using the ASvM formula we get

$$\left( \frac{W_n^{(m+1)}}{m+1} - c \right) \tau_q = 0, \quad m = 0, 1, 2, 3 \dots \quad (24)$$

This equation is the key part of this method.

# Virasoro constraints for p-reduced q-KP hierarchy

For  $m = 0$ ,

$$\left(\frac{W_n^{(1)}}{1} - c\right)\tau_q = 0. \quad (25)$$

It is just the condition  $L^p = (L^p)_+$  for p-reduced q-KP hierarchy.

For  $m = 1$ , it is

$$\left(\frac{W_n^{(2)}}{2} - c\right)\tau_q = 0,$$

i.e.

$$\left(\frac{1}{2} \sum_{i+j=n} : \alpha_i \alpha_j : - c\right)\tau_q = 0 \quad (26)$$

Let  $n = kp$ , and denote  $\tilde{t}_i = t_i + \frac{(1-q)^i}{i(1-q^i)} x^i$ ,  $i = 1, 2, 3, \dots$ .  
 Virasoro constraints of the p-reduced q-KP hierarchy are

$$L_n \tau_q = 0, \quad n = -1, 0, 1, 2, 3, \dots,$$

here

$$L_{-1} \equiv \frac{1}{p} \sum_{\substack{n = p+1 \\ n \neq 0 \pmod{p}}}^{\infty} n \tilde{t}_n \frac{\partial}{\partial \tilde{t}_{n-p}} + \frac{1}{2p} \sum_{i+j=p} ij \tilde{t}_i \tilde{t}_j,$$

$$L_0 \equiv \frac{1}{p} \sum_{\substack{n = 1 \\ n \neq 0 \pmod{p}}}^{\infty} n \tilde{t}_n \frac{\partial}{\partial \tilde{t}_n} + \left( \frac{p}{24} - \frac{1}{24p} \right),$$

$$L_k \equiv \frac{1}{p} \sum_{\substack{n=1 \\ n \neq 0 \pmod{p}}}^{\infty} n \tilde{t}_n \frac{\partial}{\partial \tilde{t}_{n+kp}} + \frac{1}{2p} \sum_{\substack{i+j=kp \\ i,j \neq 0 \pmod{p}}} ij \tilde{t}_i \tilde{t}_j.$$

$L_n$  satisfy Virasoro algebra commutation relations

$$[L_n, L_m] = (n - m)L_{(n+m)}, \quad m, n = -1, 0, 1, 2, 3, \dots, \quad (27)$$

# W-constraints for the p-reduced q-KP hierarchy

For  $m = 2$ , it is

$$\left(\frac{W_n^{(3)}}{3} - c\right)\tau_q = 0,$$

i.e.

$$\left(\frac{1}{3} \sum_{i+j+h=kn} : \alpha_i \alpha_j \alpha_h : - c\right)\tau_q = 0 \quad (28)$$

# W-constraints for the p-reduced q-KP hierarchy

Let

$$w_m \equiv \sum_{\substack{i+j+h=mp \\ i,j,h \neq 0 \pmod{p}}} : \alpha_i \alpha_j \alpha_h :, \quad m \geq -2,$$

which are the W-constraints for the p-reduced q-KP hierarchy, i.e.

$$w_m \tau_q = 0, \quad m \geq -2,$$

and they satisfy following algebra commutation relations

$$[L_n, w_m] = (2n - m)w_{n+m}, \quad n \geq -1, \quad m \geq -2$$

# Summary and Discussion

- $m = 1 \Rightarrow$  Virasoro constraints  
 $m = 2 \Rightarrow$  W-constraints  
 $m = 3, 4, 5, \dots \Rightarrow$  higher algebra constraints in a similar manner
- The q-KP hierarchy tends to the classical KP hierarchy when  $q \rightarrow 1$  and  $u_0 = 0$ , and then  $t_1 + x \rightarrow x$ . The result of this paper is consistent with the classical ones given by L. A. Dickey and S. Panda, S.Roy.
- We have known the Virasoro constraints and W-constraints for the KP, BKP(Tu M.H.), q-KP hierarchy, What about CKP hierarchy?








- string theory: Matrix Models, Seiberg-Witten theory






partition function  $\sim$  tau function (Douglas M.)

solving Virasoro constraints in matrix models(h-th/0412205)

Kontsevich-Hurwitz partition function(arXiv:0807.2843)

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Thank you!