

# A New $(3 + 1)$ -dimensional Hirota Bilinear Equation: Its Bäcklund Transformation and Rational-type Solutions

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**Abstract**—The behavior of specific dispersive waves in a new  $(3 + 1)$ -dimensional Hirota bilinear (3D-HB) equation is studied. A Bäcklund transformation and a Hirota bilinear form of the model are first extracted from the truncated Painlevé expansion. Through a series of mathematical analyses, it is then revealed that the new 3D-HB equation possesses a series of rational-type solutions. The interaction of lump-type and 1-soliton solutions is studied and some interesting and useful results are presented.

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## 1. INTRODUCTION

In the last few decades, nonlinear evolution (NLE) equations have been considered by a lot of researchers in the field of mathematical physics. It is known that exact solutions extracted from NLE equations provide comprehensive information about real-world phenomena. For this reason, searching for exact solutions of NLE equations plays a vital role in mathematical physics and is the major topic of many works. An attractive kind of exact solutions is referred to as rational-type solutions which include soliton, lump, lump-kink, breather-wave, and rogue-wave solutions. Because of the importance of these types of exact solutions, a wide range of scholars have devoted their studies to looking for rational-type solutions of nonlinear evolution equations. For example, Wazwaz and El-Tantawy in [1] exerted the simplified Hirota method to seek solitons of  $(3 + 1)$ -dimensional KP–Boussinesq and BKP–Boussinesq equations. In another work performed by Manukure et al. [2], lump solutions of a  $(2 + 1)$ -dimensional extended Kadomtsev–Petviashvili equation were obtained by making use of quadratic test functions. Lump-kink solutions to the KP equation were constructed in [3] by considering an ansatz which is a combination of positive quadratic and exponential functions. Lan [4] reported breather-wave and rogue-wave solutions of a generalized  $(3 + 1)$ -dimensional B-type Kadomtsev–Petviashvili equation with the variable coefficient using the homoclinic test technique. For more papers, see [5–36].

The fundamental purpose of the present article is to study a new 3D-HB equation in fluids in the following form:

$$u_{yt} + c_1(u_{xxx}y + 6u_xu_y + 3u_{xy}u + 3u_{xx} \int u_y dx) + c_2u_{yy} + c_3u_{zz} = 0, \quad (1.1)$$

or

$$u_{yt} + c_1(u_{xxx}y + 6u_xu_y + 3u_{xy}u + 3u_{xx}v) + c_2u_{yy} + c_3u_{zz} = 0, \quad u_y = v_x, \quad (1.2)$$

and to retrieve a bunch of rational-type solutions for it by exerting a number of effective techniques.

It is worthy of note that by considering  $c_3 = 0$ , the above new 3D-HB equation is reduced to the 2D-HB equation which has been considered by Hua et al. in [37]. Hua and his collaborators extracted interaction solutions of the 2D-HB equation by utilizing a series of ansatz techniques. Hosseini et al. [38] also found rational wave solutions of the 2D-HB equation with different structures by means of a number of useful approaches.

The structure of this paper is as follows: In Section 2, by using the truncated Painlevé expansion, the Bäcklund transformation and Hirota bilinear form of the new 3D-HB equation are formally derived. In Section 3, a series of test functions is applied to obtain rational-type solutions of the new 3D-HB equation. The last section presents the outcomes of the current article.

## 2. BÄCKLUND TRANSFORMATION AND HIROTA BILINEAR FORM OF THE MODEL

According to the truncated Painlevé expansion, the Bäcklund transformation of the system (1.2) can be written as

$$u = \frac{u_0}{f^2} + \frac{u_1}{f} + u_2, \quad v = \frac{v_0}{f^2} + \frac{v_1}{f} + v_2, \quad (2.1)$$

where  $f$  is a function of variables  $x, y, z$ , and  $t$ . The functions  $u_2$  and  $v_2$  are arbitrary solutions of the new 3D-HB equation and  $u_0, u_1, v_0$ , and  $v_1$  are unknown functions including the derivatives of  $f$ .

Now, by inserting (2.1) into the system (1.2) and solving the resulting system obtained by equating the coefficients of  $f^{-6}$  and  $f^{-3}$  to zero, we obtain

$$u_0 = -2f_x^2, \quad v_0 = -2f_x f_y. \quad (2.2)$$

Similarly, by considering  $u_2 = v_2 = 0$ , the relations presented in (2.2), and equating the coefficients of  $f^{-5}$  and  $f^{-2}$  to zero, we arrive at a system of nonlinear PDEs whose solution gives

$$u_1 = 2f_{xx}, \quad v_1 = 2f_{xy}.$$

Substituting the above results into (2.1) finally results in the following Bäcklund transformation for the new 3D-HB equation:

$$u = 2(\ln f)_{xx}, \quad v = 2(\ln f)_{xy}. \tag{2.3}$$

Based on the Bäcklund transformation (2.3), Hirota bilinear form corresponding to the new 3D-HB equation can be written as

$$B_{3D-HB}(f) := (D_y D_t + c_1 D_x^3 D_y + c_2 D_y^2 + c_3 D_z^2) f \cdot f = 2(f f_{yt} - f_y f_t + c_1 (f f_{xxy} - 3(f_{xxy} f_x - f_{xy} f_{xx}) - f_y f_{xxx}) + c_2 (f f_{yy} - f_y^2) + c_3 (f f_{zz} - f_z^2)) = 0, \tag{2.4}$$

where  $D_x, D_y, D_y,$  and  $D_z$  are Hirota’s bilinear operators.

### 3. RATIONAL-TYPE SOLUTIONS OF THE NEW 3D-HB EQUATION

In the present section, rational-type solutions of the new 3D-HB equation with different structures are formally established by exerting a number of effective techniques.

#### 3.1. Soliton Solutions

To derive soliton solutions of the governing model, we substitute  $u = e^{k_i x + \tau_i y + \xi_i z + \varsigma_i t}$  into the linear terms of (1.1) and solve the resulting equation for  $\varsigma_i$ . After that, the dispersion relation  $\varsigma_i$  can be written as

$$\varsigma_i = -\frac{c_1 k_i^3 \tau_i + c_2 \tau_i^2 + c_3 \xi_i^2}{\tau_i},$$

and so

$$\theta_i = k_i x + \tau_i y + \xi_i z - \frac{c_1 k_i^3 \tau_i + c_2 \tau_i^2 + c_3 \xi_i^2}{\tau_i} t,$$

where  $\theta_i, 1 \leq i \leq 3$  are phase variables.

Now, by considering the dependent variables

$$u = R(\ln f)_{xx}, \quad v = R(\ln f)_{xy},$$

and the exponential function

$$f = 1 + e^{k_1 x + \tau_1 y + \xi_1 z - \frac{c_1 k_1^3 \tau_1 + c_2 \tau_1^2 + c_3 \xi_1^2}{\tau_1} t},$$

we obtain  $R = 2$ . Accordingly, the following 1-soliton solution can be obtained:

$$u = 2 \left( \ln \left( 1 + e^{k_1 x + \tau_1 y + \xi_1 z - \frac{c_1 k_1^3 \tau_1 + c_2 \tau_1^2 + c_3 \xi_1^2}{\tau_1} t} \right) \right)_{xx}, \quad v = 2 \left( \ln \left( 1 + e^{k_1 x + \tau_1 y + \xi_1 z - \frac{c_1 k_1^3 \tau_1 + c_2 \tau_1^2 + c_3 \xi_1^2}{\tau_1} t} \right) \right)_{xy}.$$

Now, we formally take

$$f = 1 + e^{\theta_1} + e^{\theta_2} + a_{12} e^{\theta_1 + \theta_2}$$

as the auxiliary function in order to retrieve the following 2-soliton solution:

$$u = 2(\ln f)_{xx}, \quad v = 2(\ln f)_{xy}.$$

It is noted that the phase variables  $\theta_i, i = 1, 2$  are defined as above and the phase shift  $a_{12}$  is given as

$$a_{12} = \frac{-3c_1 k_1^2 k_2 \tau_1^2 \tau_2 + 3c_1 k_1^2 k_2 \tau_1 \tau_2^2 + 3c_1 k_1 k_2^2 \tau_1^2 \tau_2 - 3c_1 k_1 k_2^2 \tau_1 \tau_2^2 + c_3 \tau_1^2 \xi_2^2 - 2c_3 \tau_1 \tau_2 \xi_1 \xi_2 + c_3 \tau_2^2 \xi_1^2}{-3c_1 k_1^2 k_2 \tau_1^2 \tau_2 - 3c_1 k_1^2 k_2 \tau_1 \tau_2^2 - 3c_1 k_1 k_2^2 \tau_1^2 \tau_2 - 3c_1 k_1 k_2^2 \tau_1 \tau_2^2 + c_3 \tau_1^2 \xi_2^2 - 2c_3 \tau_1 \tau_2 \xi_1 \xi_2 + c_3 \tau_2^2 \xi_1^2}.$$

The special 3-soliton solution of the new 3D-HB equation, namely,

$$u = 2(\ln f)_{xx}, \quad v = 2(\ln f)_{xy}, \quad f = 1 + e^{\theta_1} + e^{\theta_2} + e^{\theta_3} + a_{12} e^{\theta_1 + \theta_2} + a_{13} e^{\theta_1 + \theta_3} + a_{23} e^{\theta_2 + \theta_3} + a_{12} a_{13} a_{23} e^{\theta_1 + \theta_2 + \theta_3},$$

where

$$\theta_i = k_i x + \tau_i y + \xi_i z - \frac{c_1 k_i^3 \tau_i + c_2 \tau_i^2 + c_3 \xi_i^2}{\tau_i} t, \quad 1 \leq i \leq 3,$$

$$a_{ij} = \frac{-3c_1 k_i^2 k_j \tau_i^2 \tau_j + 3c_1 k_i^2 k_j \tau_i \tau_j^2 + 3c_1 k_i k_j^2 \tau_i^2 \tau_j - 3c_1 k_i k_j^2 \tau_i \tau_j^2 + c_3 \tau_i^2 \xi_j^2 - 2c_3 \tau_i \tau_j \xi_i \xi_j + c_3 \tau_j^2 \xi_i^2}{-3c_1 k_i^2 k_j \tau_i^2 \tau_j - 3c_1 k_i^2 k_j \tau_i \tau_j^2 - 3c_1 k_i k_j^2 \tau_i^2 \tau_j - 3c_1 k_i k_j^2 \tau_i \tau_j^2 + c_3 \tau_i^2 \xi_j^2 - 2c_3 \tau_i \tau_j \xi_i \xi_j + c_3 \tau_j^2 \xi_i^2},$$

$$1 \leq i, j \leq 3,$$

can be achieved if and only if the following 3-soliton condition is satisfied [39, 40]:

$$\sum_{\mu_1, \mu_2, \mu_3 = \pm 1} P(\mu_1 V_1 + \mu_2 V_2 + \mu_3 V_3) P(\mu_1 V_1 - \mu_2 V_2) P(\mu_2 V_2 - \mu_3 V_3) P(\mu_1 V_1 - \mu_3 V_3)$$

$$= 2 \sum_{(\mu_1, \mu_2, \mu_3) \in S} P(\mu_1 V_1 + \mu_2 V_2 + \mu_3 V_3) P(\mu_1 V_1 - \mu_2 V_2) P(\mu_2 V_2 - \mu_3 V_3) P(\mu_1 V_1 - \mu_3 V_3) = 0,$$

in which  $P = yt + c_1 x^3 y + c_2 y^2 + c_3 z^2$ ,  $V_i = (k_i, \tau_i, \xi_i, \varsigma_i)$ , and  $S = \{(1, 1, 1), (1, 1, -1), (1, -1, 1), (-1, 1, 1)\}$ . The 1-soliton, 2-soliton, and special 3-soliton solutions of the new 3D-HB equation are presented in Figs. 1–3, presenting the behavior of dispersive waves.

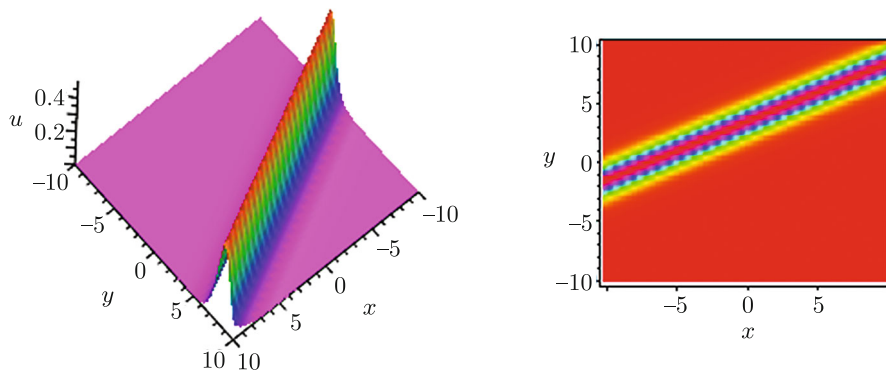


Fig. 1. 1-soliton solution on the  $x - y$  plane for  $k_1 = 1, \tau_1 = -2, \xi_1 = 2, c_1 = 1, c_2 = 1, c_3 = 2, z = 1$ , and  $t = 1$ .

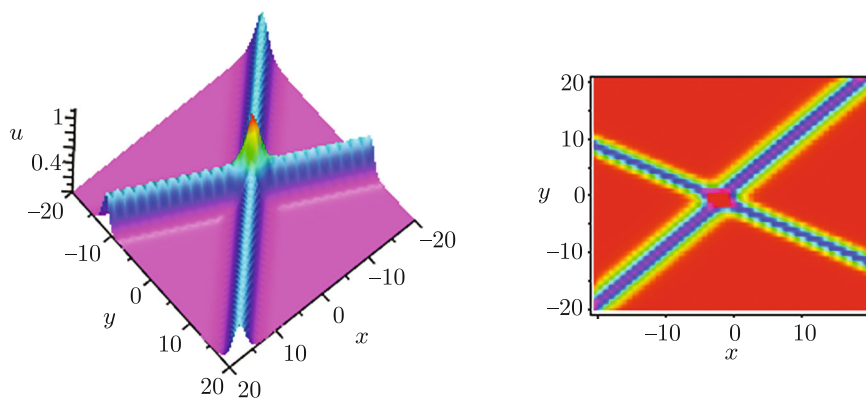


Fig. 2. 2-soliton solution on the  $x - y$  plane for  $k_1 = 1, \tau_1 = 2, \xi_1 = -1, k_2 = -1, \tau_2 = 1, \xi_2 = 2, c_1 = -1, c_2 = -2, c_3 = 1, z = 1$ , and  $t = 1$ .

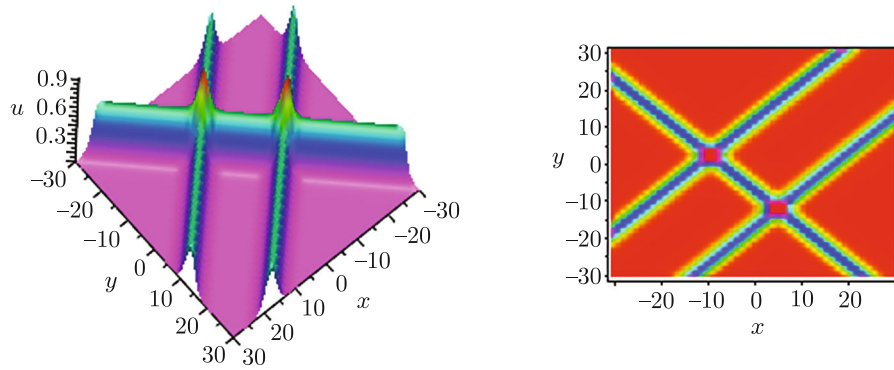
### 3.2. Lump-type Solutions

To retrieve lump-type solutions of the new 3D-HB equation, we exert a test function as follows:

$$f = g^2 + h^2 + a_{11}, \tag{3.1}$$

where

$$g = a_1 x + a_2 y + a_3 z + a_4 t + a_5, \quad h = a_6 x + a_7 y + a_8 z + a_9 t + a_{10},$$



**Fig. 3.** Special 3-soliton on the  $x - y$  plane for  $k_1 = -1, \tau_1 = 1, \xi_1 = 2, k_2 = 1, \tau_2 = -1, \xi_2 = 1, k_3 = 1, \tau_3 = 1, \xi_3 = 1, c_1 = 1, c_2 = 1, c_3 = 1, z = 10,$  and  $t = 1.$

and  $a_i, 1 \leq i \leq 11$  are real constants to be computed later. By setting (3.1) in (2.4) and adopting specific operations, we find the following results:

$$a_1 = -\frac{a_6 a_7}{a_2}, \quad a_4 = -\frac{a_2^2 c_2 + a_3^2 c_3}{a_2}, \quad a_8 = \frac{a_3 a_7}{a_2}, \quad a_9 = -\frac{a_7 (a_2^2 c_2 + a_3^2 c_3)}{a_2^2}.$$

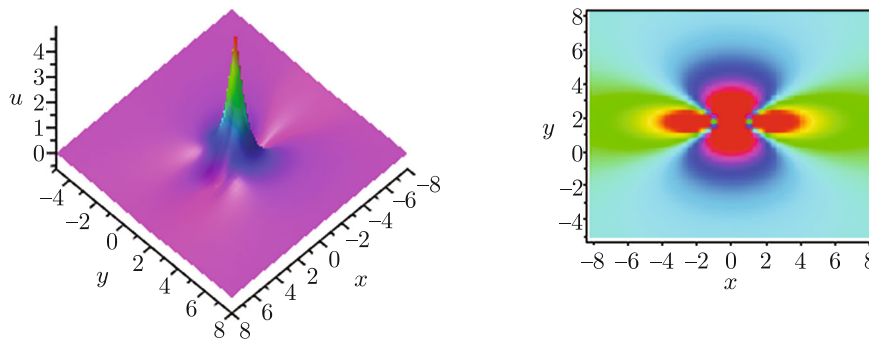
Now, a lump-type solution is obtained as

$$u = 2(\ln f)_{xx}, \quad v = 2(\ln f)_{xy}, \tag{3.2}$$

such that

$$f = \left( -\frac{a_6 a_7}{a_2} x + a_2 y + a_3 z - \frac{a_2^2 c_2 + a_3^2 c_3}{a_2} t + a_5 \right)^2 + \left( a_6 x + a_7 y + \frac{a_3 a_7}{a_2} z - \frac{a_7 (a_2^2 c_2 + a_3^2 c_3)}{a_2^2} t + a_{10} \right)^2 + a_{11}.$$

The lump-type solution of the new 3D-HB equation given by (3.2) is demonstrated in Fig. 4 for a special choice of free parameters.



**Fig. 4.** Lump-type solution on the  $x - y$  plane for  $a_2 = 2, a_3 = -1, a_5 = -1, a_6 = 1, a_7 = 1, a_{10} = -0.5, a_{11} = 1, c_2 = 1, c_3 = -1, z = 1,$  and  $t = 1.$

### 3.3. Interaction Solutions

To derive interaction solutions of the new 3D-HB equation, we employ the following test function:

$$f = g^2 + h^2 + k e^{k_1 x + k_2 y + k_3 z + k_4 t} + a_{11}, \tag{3.3}$$

where

$$g = a_1 x + a_2 y + a_3 z + a_4 t + a_5, \quad h = a_6 x + a_7 y + a_8 z + a_9 t + a_{10},$$

and  $a_i, 1 \leq i \leq 11$  and  $k_j, 1 \leq j \leq 4$  are real constants to be calculated, and  $k$  is a positive real constant. By substituting (3.3) into (2.4) and applying specific operations, we find

$$a_1 = -\frac{a_6 a_7}{a_2}, \quad a_4 = -\frac{a_2^2 c_2 + a_3^2 c_3}{a_2}, \quad a_8 = \frac{a_3 a_7}{a_2}, \quad a_9 = -\frac{a_7 (a_2^2 c_2 + a_3^2 c_3)}{a_2^2},$$

$$k_2 = 0, \quad k_3 = 0, \quad k_4 = -c_1 k_1^3.$$

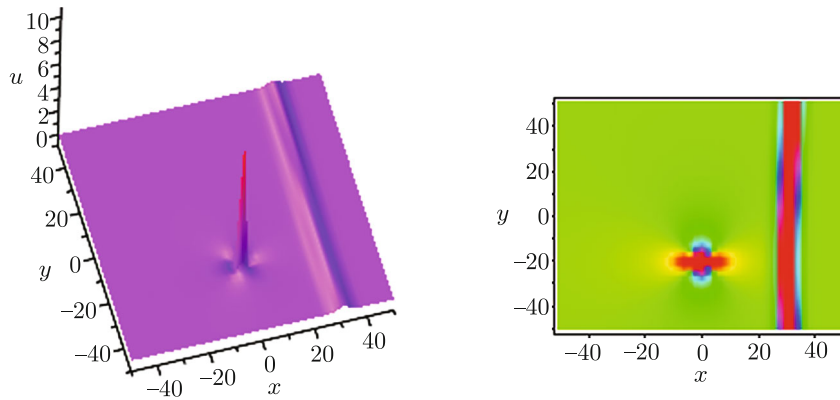
Now we find the following interaction solution:

$$u = 2(\ln f)_{xx}, \quad v = 2(\ln f)_{xy}, \tag{3.4}$$

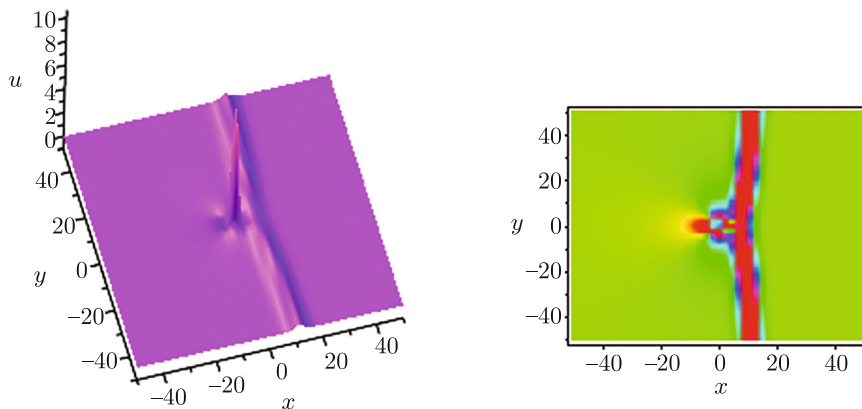
in which

$$f = \left( -\frac{a_6 a_7}{a_2} x + a_2 y + a_3 z - \frac{a_2^2 c_2 + a_3^2 c_3}{a_2} t + a_5 \right)^2 + \left( a_6 x + a_7 y + \frac{a_3 a_7}{a_2} z - \frac{a_7 (a_2^2 c_2 + a_3^2 c_3)}{a_2^2} t + a_{10} \right)^2 + k e^{k_1 x - c_1 k_1^3 t} + a_{11}.$$

Three-dimensional and density plots of the interaction solution (3.4) are illustrated in Figs. 5 and 6 for different choices of  $t$ .



**Fig. 5.** Interaction solution on the  $x - y$  plane for  $a_2 = -5, a_3 = 1, a_5 = -1, a_6 = 5, a_7 = 0.1, a_{10} = 1, a_{11} = 1, k = 1, k_1 = 1, c_1 = -0.2, c_2 = 0.2, c_3 = 0.1, z = 1$ , and  $t = -100$ .



**Fig. 6.** Interaction solution on the  $x - y$  plane for  $a_2 = -5, a_3 = 1, a_5 = -1, a_6 = 5, a_7 = 0.1, a_{10} = 1, a_{11} = 1, k = 1, k_1 = 1, c_1 = -0.2, c_2 = 0.2, c_3 = 0.1, z = 1$ , and  $t = 1$ .

It is worthy of note that when  $-c_1 k_1^3 > 0$  and  $t \rightarrow +\infty$ , the lump-type solution vanishes and the 1-soliton solution stays.

3.4. Breather-wave and Rogue-wave Solutions

To obtain breather-wave solutions of the new 3D-HB equation, we use the following ansatz:

$$f = e^{-kg} + b_0 \cos(\tau h) + b_1 e^{kg}, \tag{3.5}$$

where

$$g = a_1x + a_2y + a_3z + a_4t + a_5, \quad h = a_6x + a_7y + a_8z + a_9t + a_{10}.$$

By setting (3.5) in (2.4) and applying specific operations, we derive

$$a_2 = 0, \quad a_4 = -\frac{k^2 a_1^3 a_7 c_1 + 2a_3 a_8 c_3}{a_7}, \quad a_6 = 0, \quad a_9 = -\frac{\tau^2 a_7^2 c_2 + \tau^2 a_8^2 c_3 - k^2 a_3^2 c_3}{\tau^2 a_7}, \quad b_1 = \frac{1}{4} b_0^2.$$

Now a breather-wave solution is found as

$$u = 2(\ln f)_{xx}, \quad v = 2(\ln f)_{xy},$$

where

$$f = e^{-k(a_1x+a_3z-\frac{k^2 a_1^3 a_7 c_1 + 2a_3 a_8 c_3}{a_7}t+a_5)} + b_0 \cos\left(\tau\left(a_7y + a_8z - \frac{\tau^2 a_7^2 c_2 + \tau^2 a_8^2 c_3 - k^2 a_3^2 c_3}{\tau^2 a_7}t + a_{10}\right)\right) + \frac{1}{4} b_0^2 e^{k(a_1x+a_3z-\frac{k^2 a_1^3 a_7 c_1 + 2a_3 a_8 c_3}{a_7}t+a_5)}.$$

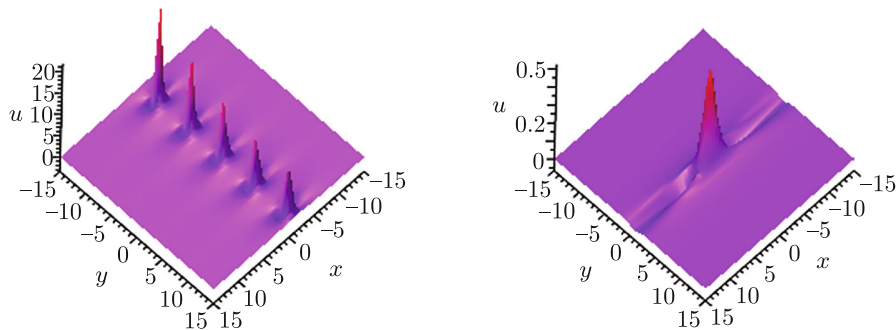
Now, by assuming  $b_0 = -2, \tau = k,$  and  $k \rightarrow 0,$  the following rogue-wave solution can be obtained:

$$u(x, y, z, t) = \frac{4a_1^2}{\theta^2 + \nu^2} - \frac{8a_1^2\theta^2}{(\theta^2 + \nu^2)^2}, \quad v(x, y, z, t) = -\frac{8a_1 a_7 \theta \nu}{(\theta^2 + \nu^2)^2},$$

where

$$\theta = a_1x + a_3z - \frac{2a_3 a_8 c_3}{a_7}t + a_5, \quad \nu = a_7y + a_8z - \frac{a_7^2 c_2 + a_8^2 c_3 - a_3^2 c_3}{a_7}t + a_{10}.$$

The breather-wave and rogue-wave solutions are formally plotted in Fig. 7 for a special choice of free parameters.



(a)  $a_1 = 0.1, a_3 = 1, a_5 = -0.1, a_7 = 1, a_8 = 1, a_{10} = -1, k = -5, \tau = 1, b_0 = -1, c_1 = 1, c_2 = 1, c_3 = 1, z = 0.1$  and  $t = 0.001$   
 (b)  $a_1 = 0.1, a_3 = 1, a_5 = -0.1, a_7 = 1, a_8 = 1, a_{10} = -1, c_2 = 1, c_3 = 1, z = 0.1$  and  $t = 0.001$

Fig. 7. (a) Breather-wave solution on the  $x - y$  plane; (b) Rogue-wave solution on the  $x - y$  plane.

4. CONCLUSION

In the present paper, a new (3 + 1)-dimensional Hirota bilinear equation has been developed and its rational-type solutions have been obtained successfully. In this respect,



- the truncated Painlevé expansion was utilized to derive the Bäcklund transformation and Hirota bilinear form of the model;
- soliton solutions were extracted by applying the simplified Hirota method and the 3-soliton condition;
- the lump-type solution was established by considering two positive quadratic functions as an ansatz;
- the interaction solution was retrieved by exerting an ansatz composed of two positive quadratic functions and an exponential function;
- the breather-wave solution and its corresponding rogue-wave solution were constructed by adopting the homoclinic test technique.

In the end, the interaction of lump-type and 1-soliton solutions was studied and some interesting and useful results were presented.

### CONFLICT OF INTEREST

The authors declare that they have no conflicts of interest.

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